



EXPRESS MAIL NO. EV335392892US

TRANSMITTAL FORM

(To be used for all correspondence
after initial filing)

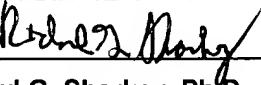
| | |
|----------------------|-------------------|
| Application Number | 09/088,951 |
| Filing Date | June 02, 1998 |
| First Named Inventor | Martin A. Cheever |
| Art Unit | 1642 |
| Examiner Name | CANELLA, Karen A. |
| Attorney Docket No. | 920010.535 |

ENCLOSURES (check all that apply)

| | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund | <input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosures (please identify below): <u>Journal article - Grey et al.</u> <u>Change of Status of Entity</u> <hr/> <hr/> <hr/> |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|-------------------------------------------------------------------------------------|-----------------|--------|
| Firm Name | Seed Intellectual Property Law Group PLLC | Customer Number | 00500 |
| Signature |  | | |
| Printed Name | Richard G. Sharkey, Ph.D. | | |
| Date | January 26, 2005 | Reg. No. | 32,629 |

VIA EXPRESS MAIL

MillicentS/555744

Effective on 12/08/2004.

Pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEET TRANSMITTAL
for FY 2005**

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\$)** **225**
Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 09/088,951 |
| Filing Date | June 02, 1998 |
| First Named Inventor | Martin A. Cheever |
| Examiner Name | CANELLA, Karen A. |

Art Unit **1642**Attorney Docket No. **920010.535****METHOD OF PAYMENT** (check all that apply)
 Check Credit Card Money Order Other (please identify): _____

 Deposit Account Deposit Account Number: **19-1090** Deposit Account Name: **Seed IP Law Group PLLC**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

| | |
|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Charge fee(s) indicated below | <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee |
| <input type="checkbox"/> Charge any additional fee(s) or underpayments | <input checked="" type="checkbox"/> Charge any underpayments or credit any overpayments of fee(s) under 37 CFR 1.16 and 1.17 |

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | |
|------------------|--------------|----------|--------------|----------|------------------|----------|----------------|
| | Small Entity | | Small Entity | | Small Entity | | |
| Application Type | Fee (\$) | Fee (\$) | Fee (\$) | Fee (\$) | Fee (\$) | Fee (\$) | Fees Paid (\$) |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | _____ |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | _____ |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | _____ |

2. EXCESS CLAIM FEES**Fee Description**

| | <u>Fee (\$)</u> | <u>Fee (\$)</u> |
|---------------------------------------------------------------------------------------------------------|-----------------|-----------------|
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent | 50 | 25 |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200 | 100 |
| Multiple dependent claims | 360 | 180 |

| <u>Total Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> | <u>Multiple Dependent Claims</u> |
|---------------------|-----------------------------------|-----------------|----------------------|--------------------------------------|
| _____ | -20 or HP = _____ X _____ = _____ | | | <u>Fee (\$)</u> <u>Fee Paid (\$)</u> |

HP = highest number of total claims paid for, if greater than 20

| <u>Indep. Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|----------------------|----------------------------------|-----------------|----------------------|
| _____ | -3 or HP = _____ X _____ = _____ | | |

HP = highest number of total claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each additional 50 or fraction thereof</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|---------------------|--------------------------|---------------------------------------------------------|-----------------|----------------------|
| _____ | -100 = _____ /50 = _____ | (round up to a whole number) | _____ X _____ | _____ |

HP = highest number of total claims paid for, if greater than 20

| 4. OTHER FEE(S) | Fees Paid (\$) |
|-----------------------------------------------------------------|-----------------------|
| Non-English Specification, \$130 fee (no small entity discount) | _____ |
| Other: <u>Extension of Time (2 months)</u> | 225 |

SUBMITTED BY

| | | | | | |
|-------------------|---------------------------|--------------------------------------|--------|-----------|----------------|
| Signature | <i>Richard G. Sharkey</i> | Registration No. (Attorney/Agent) | 32,692 | Telephone | 206-622-4900 |
| Name (Print/Type) | Richard G. Sharkey, Ph.D. | | | Date | <i>1/26/05</i> |



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Martin A. Cheever and Mary L. Disis
 Application No. : 09/088,951
 Confirmation No. : 2326
 Filed : June 02, 1998
 For : METHODS AND COMPOSITIONS TO GENERATE IMMUNITY
 IN HUMANS AGAINST SELF TUMOR ANTIGENS BY
 IMMUNIZATION WITH HOMOLOGOUS FOREIGN PROTEINS
 Examiner : CANELLA, Karen A.
 Art Unit : 1642
 Docket No. : 920010.535
 Date : January 26, 2005

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

CHANGE OF STATUS TO SMALL ENTITY

Commissioner:

The status of the above-identified application has changed to a small entity.

Respectfully submitted,

SEED Intellectual Property Law Group PLLC

Richard G. Sharkey, Ph.D.
Registration No. 32,629

Customer No. 00500